Inga N. Lin, C.P.A.

Flat 208, 1 Assam Street +44 79 1780 3661

London, E1 7QL [inga.noel.lin@gmail.com](mailto:inga.noel.lin@gmail.com)

EDUCATION / PROFESSIONAL QUALIFICATION

**Certified Public Accountant – State of California, U.S.A** March 2011

**University of California, Berkeley – Walter A. Haas School of Business**

* Bachelor of Science, Business Administration August 2003 – May 2007

EXPERIENCE

**KPMG LLP (UK)** May 2011 – Present

**Manager, Audit** London, UK

* Manage multiple teams totalling 13 audit staff concurrently to plan, budget, execute and deliver financial statement audits, regulatory audits and other assurance reports for medium to large global financial services companies
* Delivered 6 audit reports in the past 2 years on compliance with the FCA’s CASS rules for the London investment bank, broker-dealer and branch of one of the world’s largest multinational financial services companies , with a focus on exchange traded derivatives and Prime Brokerage
* Provided recommendations to the CF10a and client management teams to help improve performance and avoid weaknesses that could lead to breaches of CASS rules
* Challenged audit teams on the work they have performed for key risk areas and supported the resolution of technically complex queries through my role as a technical CASS reviewer for the KPMG London banking department
* Performed quality control reviews over banking audit and non-audit engagements to ensure work was performed in accordance with KPMG standards through my role as a Compliance manager
* Led audits of internal controls over financial reporting from which I developed an in-depth knowledge of front, middle and back office functions within global commercial, retail and investment banks
* Created audit strategy and results presentations to the Audit Committees of medium to large global investment banks in relation to CASS risks and regulatory developments
* Developed CASS audit training and audit programs for client money, custody assets, banking exemption and mandate rules
* Reviewed the work product of junior staff and provided coaching and training
* Responsible for the career development of 11 junior audit staff over the past 2 years
* Demonstrated an ability to effectively adapt to change and build new relationships in a new working through my current secondment to KPMG LLP (UK) from KPMG LLP (USA)

**KPMG LLP (USA)** June 2006 – April 2011

**Senior Associate, Audit** San Francisco, California

* Led a team of 7 audit associates/senior associates through a 14,000+ hour audit of a public (SEC registrant) commercial bank with average assets of $15B as the lead engagement senior associate
* In-charge of Sarbanes-Oxley (SOX) 404 test work for a public commercial bank, which involved coordination with Internal Audit and the testing both internal control tests of design and tests of operating effectiveness
* Provided assurance services in relation to U.S. banking regulatory reporting requirements (FDICIA)
* Led and assisted in audits in accordance with Public Company Accounting Oversight Board, U.S. GAAP, and U.S. GAAS requirements

SKILLS

* Fluent in Mandarin Chinese and Taiwanese
* Proficient in Microsoft PowerPoint, Excel, Word, Access and Adobe Acrobat, Photoshop and Illustrator

INTERESTS

* Travelling, discovering new restaurants and foods, marine biology